

Progress Update on Agreed Management Actions  
Appendix A

Table 1: Progress Updates on Actions Agreed from Internal Audit Reviews

Audit Review Title	Report Issued Date	Finding / Implication	Progress Update	Officer Responsible and Timescale
Online Retirement Tool - Added Voluntary Contributions	22/01/2021	Ensure that previously identified AVC concerns are resolved to prevent any issues having an impact on the successful delivery of the Online Retirement Tool.	The issue of final report outlining the review of AVC providers has been delayed. The Interim Assistant Director – Pensions is in discussion with the external advisor to ensure this is supplied by the end of September.	Service Manager – Benefits  Revised to September 2023
Procurement Insurance - Legislative and Regulatory Compliance	29/10/2020	Legislative / regulatory compliance; absence of effective and robust management trail increases risk of potential supplier challenge.  Contract formalities – ensuring that contracts are signed / sealed in accordance with Contract Standing Orders (CSOs)	The CSO's have recently been updated as part of the review of the Constitution approved by the Authority in June 2023.  Procurement training tailored to our needs is currently being commissioned from CIPFA's Procurement Adviser to take place during October to December, for delivery to officers involved in procurement activity.	Director, Assistant Director – Resources  December 2023

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Table 2: Actions Fully Completed Since Last Report

Audit Review Title	Report Issued Date	Finding / Implication	Progress Update	Officer Responsible and Timescale
HR Governance: Training Programme		The lack of a corporate approach to the management of training & development has led to an ineffective and inefficient process for monitoring training requirements across the Pensions Authority.	<p>The additional role to support Learning and Development has now been appointed to and will commence in October. This will provide the ability to consolidate identified learning needs and develop a more co-ordinated, corporate approach to addressing those needs. This action should now be regarded as completed.</p> <p><b>AMA completed</b></p>	<p>Director</p> <p>Completed July 2023</p>